

Odoni's conjecture for number fields

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ABSTRACT

Let K be a number field, and let $d \geq 2$. A conjecture of Odoni (stated more generally for characteristic zero Hilbertian fields K) posits that there is a monic polynomial $f \in K[x]$ of degree d , and a point $x_0 \in K$, such that for every $n \geq 0$, the so-called arboreal Galois group $\text{Gal}(K(f^{-n}(x_0))/K)$ is an n -fold wreath product of the symmetric group S_d . In this paper, we prove Odoni's conjecture when d is even and K is an arbitrary number field, and also when both d and $[K : \mathbb{Q}]$ are odd.

1. Introduction

Let F be a field, let $f(x) \in F[x]$ be a polynomial of degree $d \geq 2$, and let $x_0 \in F$. For each $n \geq 0$, denote by f^n the n -iterate $f \circ f \circ \cdots \circ f$ of f , and consider the set

$$f^{-n}(x_0) = \{\alpha \in \bar{F} \mid f^n(\alpha) = x_0\}$$

of n -th preimages of x_0 . If $f^n - x_0$ is separable for all n , then $f^{-n}(x_0)$ has exactly d^n elements for each n , and $F(f^{-n}(x_0))$ is a Galois extension of F .

In [9], Odoni showed that $\text{Gal}(F(f^{-n}(x_0))/F)$ is isomorphic to a subgroup of $[S_d]^n$, the n -fold wreath product of the symmetric group S_d with itself. He also showed that if $\text{char } F = 0$ and $E = F(s_{d-1}, \dots, s_0)$, then the generic monic polynomial $G(x) = x^d + s_{d-1}x^{d-1} + \cdots + s_0 \in E[x]$ defined over the function field E satisfies $\text{Gal}(E(G^{-n}(0))/E) \cong [S_d]^n$. In [6], the second author showed that this result also holds for fields of characteristic p , except in the case $p = d = 2$. It follows from Hilbert's Irreducibility Theorem that if $F = \mathbb{Q}$, or more generally if F is any Hilbertian field, then for any fixed $n \geq 0$, there are infinitely many polynomials $f(x) \in F[x]$ for which $\text{Gal}(F(f^{-n}(x_0))/F) \cong [S_d]^n$. However, it does not follow immediately that there are any polynomials $f(x) \in F[x]$ for which this isomorphism holds for all $n \geq 0$. Based on his results, Odoni proposed the following conjecture.

CONJECTURE 1 (Odoni, Conjecture 7.5 [9]). For any Hilbertian field F of characteristic 0 and any $d \geq 2$, there is a monic polynomial $f(x) \in F[x]$ of degree d such that $\text{Gal}(F(f^{-n}(0))/F) \cong [S_d]^n$ for all $n \geq 0$.

For any point $x_0 \in F$, if we set $g(x) = f(x + x_0) - x_0 \in F[x]$, then the fields $F(f^{-n}(x_0))$ and $F(g^{-n}(0))$ coincide. Thus, it is equivalent to phrase Odoni's conjecture in terms of the preimages $f^{-n}(x_0)$ of an arbitrary F -rational point x_0 instead of 0.

The Galois groups $\text{Gal}(F(f^{-n}(x_0))/F)$ can be better understood through the framework of arboreal Galois representations [2]. It is not hard to see that $[S_d]^n \cong \text{Aut}(T_{d,n})$ where $T_{d,n}$ is a d -ary rooted tree with n levels. We define an embedding $\text{Gal}(F(f^{-n}(x_0))/F) \rightarrow \text{Aut}(T_{d,n})$ by assigning each element of $\bigsqcup_{i=1}^n f^{-i}(x_0)$ to a vertex of the tree as follows: x_0 is the root of

the tree, and the points of $f^{-i}(x_0)$ are the vertices at the i -th level of the tree, with an edge connecting $\alpha \in f^{-i}(x_0)$ to $\beta \in f^{-i-1}(x_0)$ if $f(\beta) = \alpha$.

Jones [5] stated a version Odoni's Conjecture in the case that $F = \mathbb{Q}$ by further specifying that f should have coefficients in \mathbb{Z} . In this paper, however, we consider the original version of Conjecture 1, where f is allowed to have non-integral coefficients.

Conjecture 1 has already been proven in many cases. Odoni himself proved that $\text{Gal}(\mathbb{Q}(f^{-n}(0))/\mathbb{Q}) \cong [S_2]^n$ for all $n \geq 0$ when $f(x) = x^2 - x + 1$, proving the conjecture for $F = \mathbb{Q}$ and $d = 2$ [10]. Stoll [12] produced infinitely many such polynomials for $F = \mathbb{Q}$ and $d = 2$. In 2017, Looper showed that Odoni's conjecture holds for $F = \mathbb{Q}$ and $d = p$ a prime [8].

In this paper, we prove Odoni's conjecture for even $d \geq 2$ over any number field, as well as for odd $d \geq 3$ over any number field K not containing $\mathbb{Q}(\sqrt{d}, \sqrt{d-2})$. (In particular, we prove the conjecture when d and $[K : \mathbb{Q}]$ are both odd.)

THEOREM 1.1. *Let $d \geq 2$, and let K be a number field. Suppose either that d is even or that d and $d - 2$ are not both squares in K . Then there is a monic polynomial $f(x) \in K[x]$ of degree d and a rational point $x_0 \in K$ such that for all $n \geq 0$,*

$$\text{Gal}\left(K(f^{-n}(x_0))/K\right) \cong [S_d]^n.$$

The proof, which builds on Looper's techniques, proceeds by induction on n , and involves studying the primes ramifying in $K(f^{-n}(x_0))$. In particular, to help generate the full group S_d when d is not necessarily prime, we introduce a positive integer $m < d$ and an auxiliary prime K that ramifies to degree m^n in $K(\alpha)$, for any $\alpha \in f^{-n}(x_0)$.

Recently, Borys Kadets [7] and Joel Specter [11] have announced proofs of similar theorems, and using similar extensions of Looper's techniques. Kadets proves Odoni's conjecture over \mathbb{Q} for polynomials of even degree $d \geq 20$. Specter proves Odoni's conjecture for algebraic extensions of \mathbb{Q} which are unramified outside of infinitely many primes. Our work, which we announced at the 2018 Joint Math Meetings in San Diego (see <https://rlbenedetto.people.amherst.edu/talks/sandiego18.pdf>), was done simultaneously and independently from these projects.

The outline of the paper is as follows. In Section 2 we give preliminary results on discriminant formulas and ramification, as well as a useful group theory lemma, Lemma 2.4. In Section 3 we prove sufficient conditions for $\text{Gal}(K(f^{-n}(x_0))/K)$ to be isomorphic to $[S_d]^n$. Finally, we prove Theorem 1.1 for even $d \geq 2$ in Section 4, and for odd $d \geq 3$ in Section 5.

2. Ramification and the discriminant

We begin with the following result on the discriminant of a field generated by a root of a trinomial.

LEMMA 2.1. *Let K be a number field with ring of integers \mathcal{O}_K , let $d > m \geq 1$ with $(m, d) = 1$, let $A, B, C \in K$ with $A \neq 0$, and let S be a finite set of primes of \mathcal{O}_K including all archimedean primes and all primes at which any of A, B, C have negative valuation.*

Suppose that $g(x) = Ax^d + Bx^m + C \in \mathcal{O}_{K,S}[x]$ is irreducible over K . Let $L = K(\theta)$, where θ is a root of $g(x)$. Then the discriminant $\Delta(\mathcal{O}_{L,S}/\mathcal{O}_{K,S})$ satisfies $\Delta(g) = k^2 \Delta(\mathcal{O}_{L,S}/\mathcal{O}_{K,S})$, where $k \in \mathcal{O}_{K,S}$, and

$$\Delta(g) = (-1)^{d(d-1)/2} A^{d-m-1} C^{m-1} \left[(-1)^{d-1} m^m (d-m)^{d-m} B^d + d^d A^m C^{d-m} \right]$$

is the discriminant of the polynomial g .

We will also make use of the following discriminant formula:

$$\Delta(f^{n+1}(x) - t) = \tilde{A}^{d^n} [\Delta(f^n(x) - t)]^d \prod_{f'(r)=0} (f^{n+1}(r) - t)^{m_r}, \quad (1)$$

where f is a polynomial of degree d and lead coefficient A , where $\tilde{A} = (-1)^{d(d-1)/2} d^d A^{d-1}$, and where m_r is the multiplicity of r as a root of $f'(x)$. See [1, Proposition 3.2].

Proof of Lemma 2.1 (Sketch). This is a standard result, using the fact that any prime $\mathfrak{p} \nmid A$ of $\mathcal{O}_{K,S}$ ramifying in $\mathcal{O}_{L,S}$ divides $\Delta(\mathcal{O}_{L,S}/\mathcal{O}_{K,S})$. See, for example, Lemma 7.2, Theorem 7.3, and Theorem 7.6 of [4].

To prove the formula for $\Delta(g)$, we apply formula (1) with $f = g$, $n = 0$, and $t = 0$. More precisely, $x = 0$ is a critical point of g of multiplicity $m - 1$, and we have $g(0)^{m-1} = C^{m-1}$. The other critical points are $\zeta^j \eta$ for $1 \leq j \leq d - m$, where η is a $(d - m)$ -th root of $-mB/(dA)$, and where ζ is a primitive $(d - m)$ -th root of unity. Thus,

$$\begin{aligned} \prod_{j=1}^{d-m} g(\zeta^j \eta) &= \prod_{j=1}^{d-m} \left(C - \left(\frac{m-d}{d} \right) B \zeta^{jm} \eta^m \right) \\ &= C^{d-m} - \left[\left(\frac{m-d}{d} \right)^{d-m} B^{d-m} \left(-\frac{mB}{dA} \right)^m \right] \\ &= d^{-d} A^{-m} [d^d A^m C^{d-m} + (-1)^{d-1} (d-m)^{d-m} m^m B^d], \end{aligned} \quad (2)$$

where we have used the fact that d and $(d - m)$ are relatively prime in the second equality, to deduce that

$$\{\zeta^{jm} : 1 \leq j \leq d - m\} = \{\zeta^j : 1 \leq j \leq d - m\}.$$

Multiplying by $g(0)^{m-1}$ and $(-1)^{d(d-1)/2} d^d A^{d-1}$ as in formula (1), the desired formula for $\Delta(g)$ follows immediately. \square

LEMMA 2.2. *Let A, B, C, d, m, g, K, L be as in Lemma 2.1. If a prime $\mathfrak{p} \nmid ABC$ of $\mathcal{O}_{K,S}$ ramifies in $\mathcal{O}_{L,S}$, and if \mathfrak{q} is a prime of the Galois closure of L over K , then $\mathfrak{p} \nmid md(d - m)$, and the ramification group $I(\mathfrak{q}|\mathfrak{p})$ is generated by a single transposition of the roots of g .*

Proof. Let $\mathfrak{p} \nmid ABC$ be a prime of $\mathcal{O}_{K,S}$ which ramifies in $\mathcal{O}_{L,S}$. Then $\mathfrak{p} \mid \Delta(\mathcal{O}_{L,S}/\mathcal{O}_{K,S})$, and hence by Lemma 2.1, we also have $\mathfrak{p} \nmid md(d - m)$, since $(d, m) = 1$.

Because \mathfrak{p} ramifies, $g(x)$ must have at least one multiple root modulo \mathfrak{p} . On the other hand, if η is a mod- \mathfrak{p} root of multiplicity $\ell > 2$, then η is also at least a double root of the derivative $g'(x) \equiv dAx^{d-1} + mBx^{m-1} \pmod{\mathfrak{p}}$. However, since $\mathfrak{p} \nmid ABCdm(d - m)$, this cannot be the case unless $\eta \equiv 0 \pmod{\mathfrak{p}}$; but then η would not have been a root of g itself, since $\mathfrak{p} \nmid C$. Therefore each root of $g(x) \pmod{\mathfrak{p}}$ has at multiplicity at most two.

Now suppose η and ξ are both double roots of $g(x) \pmod{\mathfrak{p}}$. Then both η and ξ are nonzero simple roots of $g'(x) \pmod{\mathfrak{p}}$, and hence

$$\eta^{d-m} \equiv \xi^{d-m} \equiv \frac{-mB}{dA} \pmod{\mathfrak{p}}.$$

Thus, $\eta \equiv \zeta \xi \pmod{\mathfrak{p}}$, where ζ is a $(d - m)$ -th root of unity. If $\zeta \not\equiv 1 \pmod{\mathfrak{p}}$, then $\zeta^m \not\equiv 1 \pmod{\mathfrak{p}}$, since $(m, d - m) = 1$. Therefore,

$$g(\eta) = C + (g(\eta) - C) \equiv C + \zeta^m (g(\xi) - C) \equiv (1 - \zeta^m)C \not\equiv 0 \pmod{\mathfrak{p}},$$

a contradiction. Hence, we must have $\eta \equiv \xi \pmod{\mathfrak{p}}$.

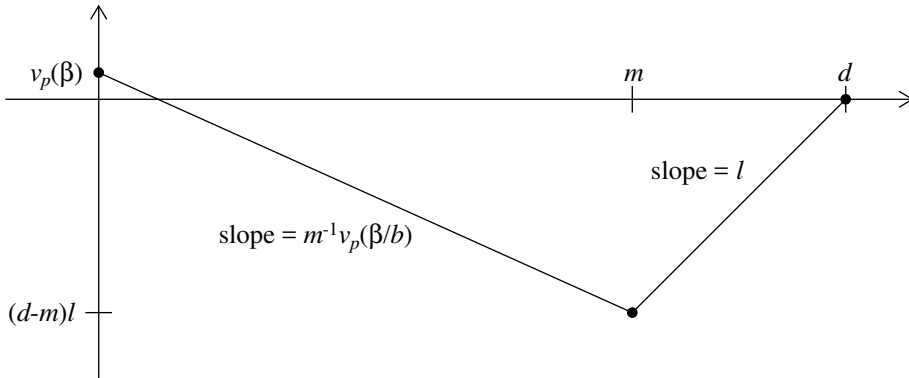


FIGURE 1. The Newton polygon for $f(x) - \beta$ in Lemma 2.3.

The two previous paragraphs together yield that g has exactly one multiple root modulo \mathfrak{p} , and it is a double root. That is,

$$g(x) \equiv A(x - \eta)^2 g_1(x) \pmod{\mathfrak{p}},$$

where $g_1(x) \in \mathcal{O}_{K,S}/\mathfrak{p}[x]$ is a separable polynomial with $g_1(\eta) \not\equiv 0 \pmod{\mathfrak{p}}$. Since g is irreducible over K , it follows that $I(\mathfrak{q}|\mathfrak{p})$ is generated by a single transposition, for any \mathfrak{q} lying above \mathfrak{p} . \square

LEMMA 2.3. *Let $d > m \geq 2$, let $b, x_0 \in K$, and suppose there is a prime \mathfrak{p} of \mathcal{O}_K such that $\mathfrak{p} \nmid (d - m)$, and $v_{\mathfrak{p}}(b) < \min\{v_{\mathfrak{p}}(x_0), 0\}$, with $(d - m) | v_{\mathfrak{p}}(b)$ and $\gcd(m, v_{\mathfrak{p}}(x_0/b)) = 1$. Let $f(x) = x^d - bx^m$, let $n \geq 0$, and let $\alpha \in f^{-n}(x_0)$. Suppose that $f^n(x) - x_0 \in K[x]$ is irreducible over K . Then there is a prime \mathfrak{P} of $K(\alpha)$ lying above \mathfrak{p} , and a prime \mathfrak{Q} of $K(f^{-1}(\alpha))$, such that*

- \mathfrak{P} has ramification index m^n over \mathfrak{p} ,
- $m^n v_{\mathfrak{P}}(\alpha/b)$ is a positive integer relatively prime to m , where $v_{\mathfrak{P}}$ is the \mathfrak{P} -adic valuation on $K(\alpha)$ extending $v_{\mathfrak{p}}$,
- \mathfrak{Q} lies above \mathfrak{P} , and
- the ramification group $I(\mathfrak{Q}|\mathfrak{P})$ acts transitively on m roots of $f(x) - \alpha$ and fixes the other $d - m$ roots.

Proof. Step 1. We will prove the first two bullet points by induction on $n \geq 0$. For $n = 0$, we have $\alpha = x_0$; choosing $\mathfrak{P} = \mathfrak{p}$, both points hold trivially.

Assuming they hold for $n - 1$, let $\beta = f(\alpha) \in f^{-(n-1)}(x_0)$. By our hypothesis that $f^n(x) - x_0$ is irreducible over K , the previous iterate $f^{(n-1)}(x) - x_0$ must also be irreducible. By our inductive hypothesis, there is a prime \mathfrak{P}' of $K(\beta)$ with ramification index m^{n-1} over \mathfrak{p} , such that $m^{n-1} v_{\mathfrak{P}'}(\beta/b)$ is a positive integer relatively prime to m .

Thus, the Newton polygon of $f(x) - \beta = x^d - bx^m - \beta$ with respect to $v_{\mathfrak{P}'}$ consists of two segments: one of length m and slope $-m^{-1} v_{\mathfrak{P}'}(\beta/b) < 0$, and one of length $d - m$ and slope $-v_{\mathfrak{P}'}(\beta)/(d - m)$, which is a positive integer; see Figure 1. That is, $f(x) - \beta$ factors over the local field $K(\beta)_{\mathfrak{P}'}$ as $f - \beta = gh$, where $g, h \in K(\beta)_{\mathfrak{P}'}[x]$, with $\deg(g) = m$ and $\deg(h) = d - m$. Moreover, the Newton polygon of each of g and h consists of a single segment, of slopes $-m^{-1} v_{\mathfrak{P}'}(\beta/b)$ and $-v_{\mathfrak{P}'}(\beta)/(d - m)$, respectively. Since $f^n - x_0$ is irreducible, then considering the Galois extension $K_n := K(f^{-n}(x_0))$, we may apply an appropriate $\sigma \in \text{Gal}(K_n/K)$ to assume that α is a root of g .

Because $v_{\mathfrak{P}'}(\beta/b) = N/m^{n-1}$ for some positive integer N relatively prime to m , and because the Newton polygon of g consists of a single segment of slope $-N/m^n$, it follows that $K(\alpha)$ has a prime \mathfrak{P} of ramification index m over \mathfrak{P}' , and hence of index m^n over \mathfrak{p} , proving the first bullet point. Letting $v_{\mathfrak{P}}$ denote the \mathfrak{P} -adic valuation on $K(\alpha)$ extending $v_{\mathfrak{P}'}$, we have $v_{\mathfrak{P}}(\alpha) = N/m^n > 0$. Since $v_{\mathfrak{P}}(b) = v_{\mathfrak{p}}(b)$ is a negative integer, it follows that $m^n v_{\mathfrak{P}}(\alpha/b)$ is a positive integer relatively prime to m , proving the second bullet point.

Step 2. Let $L := K(f^{-1}(\alpha))$, let \mathfrak{P} be a prime of $K(\alpha)$ satisfying the first two bullet points, and let $v_{\mathfrak{P}}$ be the \mathfrak{P} -adic valuation on $K(\alpha)$ extending $v_{\mathfrak{p}}$. As in Step 1, we may factor

$$f(x) - \alpha = g(x)h(x) \quad \text{with } g, h \in K(\alpha)_{\mathfrak{P}}[x],$$

where the Newton polygons of g and h each consist of a single segment, of length m and slope $-m^{-1}v_{\mathfrak{P}}(\alpha/b)$ for g , and of length $d - m$ and slope $\ell := -v_{\mathfrak{p}}(b)/(d - m)$ for h . In fact, if $\pi \in \mathcal{O}_K$ is a uniformizer for \mathfrak{p} , then the polynomial

$$F(x) := \pi^{d\ell} \left(f(\pi^{-\ell}x) - \alpha \right) = x^d - \pi^{(d-m)\ell} b x^m - \pi^{d\ell} \alpha \in K(\alpha)[x]$$

has $K(\alpha)_{\mathfrak{P}}$ -integral coefficients, with $F \equiv x^m(x^{d-m} - c) \pmod{\mathfrak{P}}$, where $c \not\equiv 0 \pmod{\mathfrak{P}}$. Therefore, the polynomial

$$H(x) := \pi^{(d-m)\ell} h(\pi^{-\ell}x) \in K(\alpha)_{\mathfrak{P}}[x]$$

also has $K(\alpha)_{\mathfrak{P}}$ -integral coefficients, and $H \equiv x^{d-m} - c \pmod{\mathfrak{P}}$. Since $\mathfrak{p} \nmid (d - m)$, the splitting field of H , and hence of h , is unramified over \mathfrak{P} .

Let \mathfrak{Q} be any prime of L lying over \mathfrak{P} , and let $\gamma_1, \dots, \gamma_d$ be the roots of $f(x) - \alpha$ in the local field $L_{\mathfrak{Q}}$. By the factorization $f - \alpha = gh$ of the previous paragraph, $d - m$ of the roots (without loss, $\gamma_{m+1}, \dots, \gamma_d$ are roots of $h(x)$), and hence they lie in an unramified extension $L'_{\mathfrak{Q}}$ of $K(\alpha)_{\mathfrak{P}}$ contained in $L_{\mathfrak{Q}}$. On the other hand, the remaining roots $\gamma_1, \dots, \gamma_m$ are roots of g , which is totally ramified over $K(\alpha)_{\mathfrak{P}}$ and hence irreducible over $L'_{\mathfrak{Q}}$.

The decomposition group $D(\mathfrak{Q}|\mathfrak{P})$ is canonically isomorphic to the Galois group $\text{Gal}(L_{\mathfrak{Q}}/K(\alpha)_{\mathfrak{P}})$, and the inertia group $I(\mathfrak{Q}|\mathfrak{P})$ is canonically isomorphic to the Galois group $\text{Gal}(L_{\mathfrak{Q}}/L'_{\mathfrak{Q}})$. Thus, $I(\mathfrak{Q}|\mathfrak{P})$ acts transitively on the roots of g , while fixing the roots of h , as desired. \square

LEMMA 2.4. *Let $d \geq 3$, let m be an integer relatively prime to d with $d/2 < m < d$, and let $G \subseteq S_d$ be a subgroup that*

- contains a transposition,
- acts transitively on $\{1, 2, \dots, d\}$, and
- has a subgroup H that acts trivially $\{m + 1, m + 2, \dots, d\}$ and transitively on $\{1, 2, \dots, m\}$.

Then $G = S_d$.

Proof. **Step 1.** Define a relation \sim on $\{1, \dots, d\}$ by $x \sim y$ if either $x = y$, or the transposition (x, y) is an element of G . Clearly \sim is reflexive and symmetric. It is also transitive, because if $x, y, z \in \{1, \dots, d\}$ are distinct with $x \sim y$ and $y \sim z$, then

$$(x, z) = (x, y)(y, z)(x, y) \in G, \quad \text{so } x \sim z.$$

(We also clearly have $x \sim z$ if any two of x, y, z coincide.) Thus, \sim is an equivalence relation.

Step 2. We claim that each equivalence class of \sim has the same size. To see this, given $x, y \in \{1, \dots, d\}$, denote by $[x]$ and $[y]$ the \sim -equivalence classes of x and y , respectively. Since G acts transitively, there is some $\sigma \in G$ such that $\sigma(x) = y$. Then σ maps $[x]$ into $[y]$, because

for any $t \in [x]$, we have $(x, t) \in G$, and hence

$$(y, \sigma(t)) = (\sigma(x), \sigma(t)) = \sigma \circ (x, t) \circ \sigma^{-1} \in G,$$

so that $\sigma(t) \in [y]$. Similarly, σ^{-1} maps $[y]$ into $[x]$. Thus, $\sigma : [x] \rightarrow [y]$ is an invertible function, proving the claim.

Step 3. Let j denote the common size of each equivalence class of \sim . Then $j \geq 2$, since G contains a transposition. Also, $j|d$ by Step 2, and because $\gcd(m, d) = 1$, it follows that $j \nmid m$. Thus, there must be some $x \in \{1, \dots, m\}$ such that $[x] \not\subseteq \{1, \dots, m\}$. That is, there is some $y \in \{m+1, \dots, d\}$ such that $(x, y) \in G$.

We claim that in fact, $\{1, \dots, m\} \subseteq [y]$. Indeed, for any $t \in \{1, \dots, m\}$, there is some $\tau \in H$ such that $\tau(x) = t$; and since H acts trivially on $\{m+1, \dots, d\}$, we also have $\tau(y) = y$. Thus, $(y, t) = \tau \circ (x, y) \circ \tau^{-1} \in G$. That is, $t \sim y$, proving our claim.

This claim immediately implies that $j \geq m > d/2$. Since $j|d$, we must have $j = d$. Hence, the whole set $\{1, \dots, d\}$ is a single equivalence class. That is, every transposition belongs to G ; therefore, $G = S_d$. \square

3. Sufficient conditions for large arboreal Galois groups

Our main tools for proving that certain arboreal Galois groups are as large as possible are the following theorems. We will apply the first to polynomials of degree $d \geq 4$, and the second to degrees $d = 2, 3$.

THEOREM 3.1. *Fix integers $d > m \geq 2$ with $(m, d) = 1$ and $m > d/2$. Let $b, x_0 \in K$, where we can write $x_0 = s/t$ and $b = u/w$ with $s, t, u, w \in \mathcal{O}_K$ and $(s, t) = (u, w) = 1$. Suppose that the polynomial*

$$f(x) = x^d - bx^m$$

satisfies the following properties.

- (i) *there is a prime \mathfrak{p}_1 of \mathcal{O}_K with $v_{\mathfrak{p}_1}(b) \geq 1$ and $v_{\mathfrak{p}_1}(x_0) = 1$;*
- (ii) *there is a prime \mathfrak{p}_2 of \mathcal{O}_K such that*
 - (a) $\mathfrak{p}_2 \nmid (d - m)$,
 - (b) $v_{\mathfrak{p}_2}(b) < \min\{v_{\mathfrak{p}_2}(x_0), 0\}$,
 - (c) $(d - m)|v_{\mathfrak{p}_2}(b)$,
 - (d) $\gcd(m, v_{\mathfrak{p}_2}(x_0/b)) = 1$;
- (iii) *for each $n \geq 1$, there is a prime $\mathfrak{p} \nmid \text{stuw}(d - m)$ of \mathcal{O}_K such that $v_{\mathfrak{p}}(\Delta(f^n(x) - x_0)) > 0$ is odd, and such that $v_{\mathfrak{p}}(\Delta(f^\ell(x) - x_0)) = 0$ for all $0 \leq \ell < n$.*

Then for all $n \geq 0$,

$$\text{Gal}\left(K(f^{-n}(x_0))/K\right) \cong [S_d]^n.$$

For quadratic and cubic polynomials, conditions (i) and (iii) of Theorem 3.1 suffice, as follows.

THEOREM 3.2. *Let $d = 2$ or $d = 3$. Let $b, x_0 \in K$, where we can write $x_0 = s/t$ and $b = u/w$ with $s, t, u, w \in \mathcal{O}_K$ and $(s, t) = (u, w) = 1$. Suppose that the polynomial*

$$f(x) = x^d - bx$$

satisfies properties (i) and (iii) of Theorem 3.1, with $m = 1$. Then for all $n \geq 0$,

$$\text{Gal}\left(K(f^{-n}(x_0))/K\right) \cong [S_d]^n.$$

The proofs of Theorems 3.1 and 3.2 rely on the following two results.

PROPOSITION 3.3. *Let $d, m, x_0 = s/t, b = u/w$, and f be as in Theorem 3.1 or Theorem 3.2. Then for any $n \geq 1$ and $\alpha \in f^{-(n-1)}(x_0)$, $\text{Gal}(K(f^{-1}(\alpha))/K(\alpha)) \cong S_d$.*

Proof. For convenience of notation, let $G := \text{Gal}(K(f^{-1}(\alpha))/K(\alpha))$, and let β_1, \dots, β_d be the roots of $f(x) - \alpha$.

Observe that $f(x) \equiv x^d \pmod{\mathfrak{p}_1[x]}$, where \mathfrak{p}_1 is the prime described in condition (i) of Theorem 3.1. Thus, $f^n(x) \equiv x^{d^n} \pmod{\mathfrak{p}_1[x]}$, and in addition, the constant term of $f^n(x)$ is trivial. Hence, $f^n(x) - x_0$ is Eisenstein at \mathfrak{p}_1 and therefore irreducible over K , of degree d^n .

Further, since $f^n(x) - x_0$ is irreducible over K , $f(x) - \alpha$ is irreducible over $K(\alpha)$ by Capelli's Lemma. In particular, G acts transitively on $\{\beta_1, \dots, \beta_d\}$.

Let \mathfrak{p} be the prime described in condition (iii) of Theorem 3.1 for $\Delta(f^n(x) - x_0)$. By equation (1) and the fact that $\mathfrak{p} \nmid \text{stuw}d$, we see that \mathfrak{p} must divide

$$\prod_{i=1}^{d-m} (f^n(\zeta^i \eta) - x_0) = \prod_{\gamma \in f^{-(n-1)}(x_0)} \left(\prod_{i=1}^{d-m} (f(\zeta^i \eta) - \gamma) \right)$$

to an odd power, where η is a nonzero critical point of $f(x)$, and ζ is a primitive $(d-m)$ -root of unity. Hence, there is some prime \mathfrak{q}' of $K_n := K(f^{-n}(x_0))$ lying above \mathfrak{p} , along with some Galois conjugate α' of α , such that \mathfrak{q}' divides $\prod_{i=1}^{d-m} (f(\zeta^i \eta) - \alpha')$ to an odd power. Since α' and α are conjugates, there must also be a prime \mathfrak{q} lying above \mathfrak{p} dividing $\prod_{i=1}^{d-m} (f(\zeta^i \eta) - \alpha)$ to an odd power. Finally, restricting \mathfrak{q} to $K(\alpha)$, we see that there is a prime \mathfrak{P} of $K(\alpha)$ lying above \mathfrak{p} that divides $\Delta(f(x) - \alpha)$ to an odd power. Applying Lemma 2.1 to $\Delta(f(x) - \alpha)$, the prime \mathfrak{P} must ramify in $K(f^{-1}(\alpha))$. By Lemma 2.2, the corresponding inertia subgroup in G must be generated by a single transposition of the roots $\{\beta_1, \dots, \beta_d\}$.

Thus, $G = \text{Gal}(K(f^{-1}(\alpha))/K(\alpha))$ is a subgroup of S_d that acts transitively on $\{\beta_1, \dots, \beta_d\}$ and that also contains a transposition. For $d = 2$ or $d = 3$, it follows that $G \cong S_d$, proving the desired result under the hypotheses of Theorem 3.2.

For the remainder of the proof, assume the hypotheses of Theorem 3.1. Let \mathfrak{p}_2 be the prime described in condition (2) of that Theorem. Then Lemma 2.3 applied to \mathfrak{p}_2 shows that G has a subgroup H that acts transitively on m of the roots of $f(x) - \alpha$, and trivially on the remaining roots. Thus, G satisfies the hypotheses of Lemma 2.4, and hence $G \cong S_d$. \square

PROPOSITION 3.4. *Let $d, m, x_0 = s/t, b = u/w$, and f be as in Theorem 3.1 or Theorem 3.2. Fix $n \geq 1$, and let $\alpha_1, \dots, \alpha_{d^{n-1}}$ denote the roots of $f^{n-1}(x) - x_0$. For each $i = 1, \dots, d^{n-1}$, let $M_i := K(f^{-1}(\alpha_i))$ and $\widehat{M}_i := \prod_{j \neq i} M_j$. Then $\text{Gal}(K(f^{-n}(x_0))/\widehat{M}_i)$ contains an element that acts as a single transposition on the elements of $f^{-1}(\alpha_i)$.*

Proof. Fix $i \in \{1, \dots, d^{n-1}\}$. Let \mathfrak{p} be the prime described in condition (iii) of Theorem 3.1 for $\Delta(f^n(x) - x_0)$. As in the proof of Proposition 3.3, there must be a prime \mathfrak{P} of $K(\alpha_i)$ lying above \mathfrak{p} that divides $\Delta(f(x) - \alpha_i)$ to an odd power. By Lemma 2.1 applied to $\Delta(f(x) - \alpha_i)$, the prime \mathfrak{P} must ramify in $M_i = K(f^{-1}(\alpha_i))$; and by Lemma 2.2, the inertia group of \mathfrak{P} in $\text{Gal}(M_i/K(\alpha_i))$ is generated by an element that acts as a transposition on $f^{-1}(\alpha_i)$.

In addition, because \mathfrak{P} lies over \mathfrak{p} , with $\mathfrak{p} \nmid \Delta(f^{n-1}(x) - x_0)$, the prime \mathfrak{P} does not ramify in $K_{n-1} := K(f^{-(n-1)}(x_0))$. It suffices to show that \mathfrak{P} does not ramify in \widehat{M}_i . Indeed, in that case, the inertia group of \mathfrak{P} in $\text{Gal}(\widehat{M}_i/K(\alpha_i))$ is trivial.

Suppose that there is some $j \neq i$ and some prime \mathfrak{Q} of K_{n-1} with $\mathfrak{Q}|\mathfrak{P}$ and which ramifies in $K_{n-1}M_j$. Then α_j is a critical value of f modulo \mathfrak{Q} ; but so is α_i , since \mathfrak{P} ramifies in M_i . If

either α_i or α_j is congruent to 0 or ∞ modulo \mathfrak{Q} , then $x_0 = f^{n-1}(\alpha_i) = f^{n-1}(\alpha_j)$ must also be congruent to 0 or ∞ modulo \mathfrak{Q} , and hence $\mathfrak{Q} \mid stuw$, contradicting the assumption that $\mathfrak{p} \nmid stuw$. Thus, α_i and α_j must be of the form $f(\eta)$ and $f(\xi)$, respectively, where η, ξ satisfy

$$\eta^{d-m} \equiv \xi^{d-m} \equiv \frac{mb}{d} \pmod{\mathfrak{Q}}.$$

Therefore, as in the proof of Lemma 2.2, there is a $(d-m)$ -th root of unity ζ so that $\eta \equiv \zeta \xi \pmod{\mathfrak{Q}}$, and hence

$$\alpha_i \equiv f(\eta) \equiv \zeta^m f(\xi) \equiv \zeta^m \alpha_j \pmod{\mathfrak{Q}}.$$

Applying f^{n-1} , we have

$$x_0 = f^{n-1}(\alpha_i) \equiv \zeta^{m^n} f^{n-1}(\alpha_j) = \zeta^{m^n} x_0 \pmod{\mathfrak{Q}}.$$

Since $\mathfrak{p} \nmid (d-m)$, it follows that $\zeta^{m^n} \equiv 1 \pmod{\mathfrak{Q}}$. Therefore, because $(m, d-m) = 1$, we have $\zeta \equiv 1 \pmod{\mathfrak{Q}}$, and hence $\alpha_i \equiv \alpha_j \pmod{\mathfrak{Q}}$. But in that case, $f^{n-1}(x) - x_0$ has multiple roots modulo \mathfrak{Q} , yielding

$$\mathfrak{p} \mid \Delta(f^{n-1}(x) - x_0),$$

which is a contradiction. Thus, \mathfrak{P} does not ramify in $K_{n-1}M_j$ for any $j \neq i$. Taking the compositum, \mathfrak{P} does not ramify in \widehat{M}_i . \square

Proof of Theorems 3.1 and 3.2. We proceed by induction on n . The conclusion is trivial for $n = 0$. Assuming it holds for $n-1$, we have in particular that $f^{n-1}(x) - x_0$ is irreducible over K , with roots $\alpha_1, \dots, \alpha_{d^{n-1}}$. For each $i = 1, \dots, d^{n-1}$, we claim that $\text{Gal}(K_n/\widehat{M}_i) \cong S_d$, where $K_n := K(f^{-n}(x_0))$, and \widehat{M}_i is as in Proposition 3.4.

To prove the claim, let M_i be as in Proposition 3.4, and note that

$$\text{Gal}(K_n/\widehat{M}_i) \cong \text{Gal}(M_i/\widehat{M}_i \cap M_i), \quad (3)$$

where the isomorphism is not just of abstract groups, but of subgroups of S_d acting on $f^{-1}(\alpha_i)$.

Since M_i and \widehat{M}_i are both Galois extensions of $K(\alpha_i)$, their subfield $M_i \cap \widehat{M}_i$ is also Galois over $K(\alpha_i)$. Hence, $\text{Gal}(M_i/\widehat{M}_i \cap M_i)$ is a normal subgroup of $\text{Gal}(M_i/K(\alpha_i))$, which is isomorphic to S_d , by Proposition 3.3. On the other hand, by Proposition 3.4, the isomorphic group $\text{Gal}(K_n/\widehat{M}_i)$ contains a transposition. By equation (3), $\text{Gal}(K_n/\widehat{M}_i)$ is a normal subgroup of S_d that contains a transposition, and therefore it is all of S_d , as claimed.

Thus, for each $i = 1, \dots, d^{n-1}$, we see that $\text{Gal}(K_n/K_{n-1})$ contains a subgroup H_i isomorphic to S_d and which acts trivially on $f^{-1}(\alpha_j)$ for each $j \neq i$. It follows that $\text{Gal}(K_n/K_{n-1})$ contains a subgroup $H := \prod_i H_i$ of order $(d!)^{d^{n-1}}$. Hence, $\text{Gal}(K_n/K)$ is isomorphic to a subgroup of $[S_d]^n$ of order at least

$$|\text{Gal}(K_{n-1}/K)| \cdot |H| = [S_d]^{d^{n-1}} (d!)^{d^{n-1}} = (d!)^{1+d+\dots+d^{n-2}+d^{n-1}} = |[S_d]^n|.$$

Therefore, $\text{Gal}(K_n/K) \cong [S_d]^n$, as desired. \square

4. Proof of Odoni's Conjecture for d even

We now prove Theorem 1.1 for even degree d :

THEOREM 4.1. *Let K be a number field, and let $d \geq 2$ be an even integer. Then there is a monic polynomial $f(x) \in K[x]$ of degree d and a rational point $x_0 \in K$ such that for all $n \geq 0$,*

$$\text{Gal}\left(K(f^{-n}(x_0))/K\right) \cong [S_d]^n.$$

Before proving Theorem 4.1, we need one more lemma.

LEMMA 4.2. *Let $d \geq 2$ be an integer, let K be a number field with ring of integers \mathcal{O}_K , and let $s, t \in \mathcal{O}_K$. Suppose that $s(d-1)$ and $dt(s^{d-1} + t^{d-1})$ are relatively prime. Let $x_0 = s/t$ and*

$$f(x) = x^d - bx^{d-1} \in K[x], \quad \text{where } b = \frac{x_0^d}{x_0^{d-1} + 1} = \frac{s^d}{t(s^{d-1} + t^{d-1})}.$$

Let $\eta = (d-1)b/d$ be the unique nonzero critical point of f . Then for every $n \geq 1$,

$$F_n := s^{-1} \left(dt(s^{d-1} + t^{d-1}) \right)^{d^n} [f^n(\eta) - x_0] \quad (4)$$

is an \mathcal{O}_K -integer relatively prime to $d(d-1)st(s^{d-1} + t^{d-1})$. Moreover, if uF_n is not a square in K for any unit $u \in \mathcal{O}_K^\times$, then there is a prime $\mathfrak{q} \nmid d(d-1)st(s^{d-1} + t^{d-1})$ of \mathcal{O}_K dividing $\Delta(f^n(x) - x_0)$ to an odd power, and such that $v_{\mathfrak{q}}(\Delta(f^\ell(x) - x_0)) = 0$ for all $0 \leq \ell < n$.

Proof. Let $D = s^{d-1} + t^{d-1}$. We claim that for any $n \geq 1$,

$$(dtD)^{d^n} f^n(\eta) = s^{de_n} (d-1)^{(d-1)^n} M_n \quad (5)$$

where $M_n \in \mathcal{O}_K$ is relatively prime to $d(d-1)stD$, and where

$$e_n = (d-1)^n + (d-1)^{n-1} + \cdots + (d-1) + 1.$$

Proceeding by induction on n , a direct computation shows

$$f(\eta) = -(d-1)^{d-1} \left(\frac{b}{d} \right)^d = \frac{-(d-1)^{d-1} s^{d^2}}{(dtD)^d}, \quad (6)$$

proving the claim for $n = 1$, with $M_1 = -1$. Given the claim for a particular $n \geq 1$, we have

$$\frac{(dtD)^{d^{n+1}} f^{n+1}(\eta)}{(d-1)^{(d-1)^{n+1}} s^{de_{n+1}} M_n^{d-1}} = (d-1)^{(d-1)^n} s^{d(e_n-1)} M_n - d^{d^n} (tD)^{d^n-1},$$

since $e_{n+1} = (d-1)e_n + 1$. Setting

$$M_{n+1} := M_n^{d-1} \left((d-1)^{(d-1)^n} s^{d(e_n-1)} M_n - d^{d^n} (tD)^{d^n-1} \right) \in \mathcal{O}_K,$$

we see that M_{n+1} is relatively prime to $d(d-1)stD$, proving the claim.

Fix $n \geq 1$. It is immediate from equations (4) and (5) that

$$F_n = s^{de_n-1} (d-1)^{(d-1)^n} M_n - d^{d^n} (tD)^{d^n-1} \in \mathcal{O}_K,$$

which is relatively prime to $d(d-1)stD$, as desired.

For the remainder of the proof, assume that uF_n is not a square in \mathcal{O}_K for any unit $u \in \mathcal{O}_K^\times$. Then there is a prime \mathfrak{q} of \mathcal{O}_K dividing F_n to an odd power. We must have $\mathfrak{q} \nmid d(d-1)stD$.

The factor consisting of the product over critical points in discriminant formula (1) for $\Delta(f^n(x) - x_0)$ is

$$(-x_0)^{d-2} (f^n(\eta) - x_0) = \frac{-s^{d-1} F_n}{t^{d^n+d-2} (dD)^{d^n}}.$$

Thus, \mathfrak{q} divides this factor to an odd power. By formula (1), then, it suffices to show that

$$v_{\mathfrak{q}} \left(\Delta(f^\ell(x) - c) \right) = 0 \quad \text{for all } 0 \leq \ell \leq n-1. \quad (7)$$

Suppose not. Let $0 \leq \ell \leq n-1$ be the smallest index for which equation (7) fails. Then by formula (1) again, we must have

$$v_{\mathfrak{q}}(f^\ell(\eta) - x_0) \geq 1, \quad \text{i.e., } f^\ell(\eta) \equiv x_0 \pmod{\mathfrak{q}}.$$

Thus,

$$f^{\ell+1}(\eta) \equiv b \pmod{\mathfrak{q}}, \quad \text{and} \quad f^{\ell+j}(\eta) \equiv 0 \pmod{\mathfrak{q}} \quad \text{for all } j \geq 2.$$

Therefore, $f^n(\eta)$ is congruent to either b or 0 modulo \mathfrak{q} . However, $f^n(\eta) \equiv x_0 \pmod{\mathfrak{q}}$, and $x_0 \not\equiv b, 0 \pmod{\mathfrak{q}}$, since $x_0 - b = -st^{d-2}/D$ and $\mathfrak{q} \nmid stD$. This contradiction proves equation (7) and hence the Lemma. \square

Proof of Theorem 4.1. Fix $d \geq 2$ even. It suffices to show that there is some $f(x) \in K[z]$ satisfying the hypotheses of Theorem 3.1 or 3.2, with $\deg(f) = d$.

Step 1. We will show that there is a prime \mathfrak{p} of \mathcal{O}_K such that all units $u \in \mathcal{O}_K^\times$ are squares modulo \mathfrak{p} , and so is $1-d$, with $\mathfrak{p} \nmid d(d-1)$. To do so, let u_1, \dots, u_r be generators of the (finitely-generated) unit group \mathcal{O}_K^\times . It suffices to find a prime $\mathfrak{p} \nmid d(d-1)$ for which each of $1-d, u_1, \dots, u_r$ is a square modulo \mathfrak{p} .

Let $L = K(\sqrt{1-d}, \sqrt{u_1}, \dots, \sqrt{u_r})$, which is a Galois extension of K . By the Chebotarev Density Theorem, there are infinitely many primes \mathfrak{p} of \mathcal{O}_K at which Frobenius acts trivially on L modulo \mathfrak{p} . Choosing any such prime \mathfrak{p} that does not ramify in L and does not divide $d(d-1)$, it follows that each of $1-d, u_1, \dots, u_r$ have square roots modulo \mathfrak{p} , as desired.

Step 2. Let \mathfrak{p}_1 be a prime of \mathcal{O}_K not dividing $d(d-1)\mathfrak{p}$. Choose $s_0 \in \mathcal{O}_K$ that is not a square modulo \mathfrak{p} , and choose $s_1 \in \mathcal{O}_K$ with $v_{\mathfrak{p}_1}(s_1) = 1$. Since the three ideals $d(d-1)$, \mathfrak{p} , and \mathfrak{p}_1^2 are pairwise relatively prime, the Chinese Remainder Theorem shows that there is some $s \in \mathcal{O}_K$ such that

$$s \equiv 1 \pmod{d(d-1)}, \quad s \equiv s_0 \pmod{\mathfrak{p}}, \quad \text{and} \quad s \equiv s_1 \pmod{\mathfrak{p}_1^2}.$$

Thus, s is not a square modulo \mathfrak{p} , and $v_{\mathfrak{p}_1}(s) = 1$.

Choose $t_0 \in \mathcal{O}_K$ with $v_{\mathfrak{p}}(t_0) = 1$. Since the ideals $s(d-1)$ and \mathfrak{p}^2 are relatively prime, the Chinese Remainder Theorem shows that there is some $t \in \mathcal{O}_K$ such that

$$t \equiv 1 \pmod{s(d-1)}, \quad \text{and} \quad t \equiv t_0 - s \pmod{\mathfrak{p}^2}.$$

In particular,

$$\begin{aligned} s^{d-1} + t^{d-1} &\equiv s^{d-1} + (t_0 - s)^{d-1} \equiv s^{d-1} + (-s^{d-1} + (d-1)s^{d-2}t_0) \\ &\equiv (d-1)s^{d-2}t_0 \pmod{\mathfrak{p}^2}, \end{aligned}$$

and therefore $v_{\mathfrak{p}}(s^{d-1} + t^{d-1}) = v_{\mathfrak{p}}(t_0) = 1$. In addition,

$$s^{d-1} + t^{d-1} \equiv 2 \pmod{d-1},$$

and hence $d-1$ and $s^{d-1} + t^{d-1}$ are relatively prime, since $d-1$ is odd.

Let $\mathfrak{p}_2 = \mathfrak{p}$. By our choices above, note that s and dt are also relatively prime, and so are t and $d-1$. Thus,

$$s(d-1) \quad \text{and} \quad dt(s^{d-1} + t^{d-1}) \quad \text{are relatively prime}$$

as elements of \mathcal{O}_K . Hence, setting $x_0 = s/t$ and $b = s^d/(t(s^{d-1} + t^{d-1}))$, we have

$$v_{\mathfrak{p}_1}(x_0) = 1, \quad v_{\mathfrak{p}_1}(b) = d, \quad v_{\mathfrak{p}_2}(x_0) = 0, \quad \text{and} \quad v_{\mathfrak{p}_2}(b) = -1. \quad (8)$$

Define $f \in K[x]$ by $f(x) = x^d - bx^{d-1}$.

Step 3. Let $m = d-1$. If $d = 2$, then f is of the form of Theorem 3.2, and the relations (8) show that f satisfies condition (i) of Theorem 3.1. Similarly, if $d \geq 4$, then f is of the form of Theorem 3.1, and relations (8) show that f satisfies conditions (i) and (ii) of that Theorem. In both cases, we claim that for each $n \geq 1$, the quantity F_n of equation (4) is not a square modulo $\mathfrak{p} = \mathfrak{p}_2$. Since all units of \mathcal{O}_K are squares modulo \mathfrak{p} , Lemma 4.2 will then guarantee that condition (iii) of Theorem 3.1 also holds, yielding the desired result. Thus, it suffices to prove our claim: F_n is not a square modulo \mathfrak{p} , for every $n \geq 1$.

Write $D = s^{d-1} + t^{d-1}$, so that $b = s^d/(tD)$, and $v_{\mathfrak{p}}(D) = 1$. Let $\eta = (d-1)b/d$, which is the only critical point of f besides 0 and ∞ . Then for all $n \geq 1$, observe that

$$(dtD)^{d^n} f^n(\eta) \equiv \left(-(d-1)^{d-1} s^{d^2} \right)^{d^{n-1}} \pmod{dtD}. \quad (9)$$

Indeed, for $n = 1$, equation (9) is immediate from equation (6). For $n \geq 2$, we have

$$(dtD)^{d^\ell} f\left(\frac{y}{(dtD)^\ell}\right) \equiv y^d \pmod{dtD}$$

for $y \in \mathcal{O}_K$ relatively prime to dtD and $\ell \geq 2$, and hence equation (9) follows by induction on n .

Equation (9) shows that $(dtD)^{d^n} f^n(\eta)$ is a nonzero square modulo \mathfrak{p} for every $n \geq 1$. (For $n = 1$, recall that $1 - d$ is a square modulo \mathfrak{p} .) In addition, $(dtD)^{d^n} x_0 \equiv 0 \pmod{\mathfrak{p}}$. Thus, from the definition of F_n in equation (4), we see that sF_n is a nonzero square modulo \mathfrak{p} . Since s is not a square modulo \mathfrak{p} , we have proven our claim and hence the Theorem. \square

5. Proof of Odoni's Conjecture for d odd, for most K

We now prove Theorem 1.1 for odd degree d :

THEOREM 5.1. *Let $d \geq 3$ be an odd integer, and let K be a number field in which d and $d - 2$ are not both squares. Then there is a monic polynomial $f(x) \in K[x]$ of degree d and a rational point $x_0 \in K$ such that for all $n \geq 0$,*

$$\text{Gal}\left(K(f^{-n}(x_0))/K\right) \cong [S_d]^n.$$

Note that if $[K : \mathbb{Q}]$ is odd, then Theorem 5.1 yields Odoni's conjecture in all odd degrees $d \geq 3$. After all, for $d \geq 3$ odd, at least one of d and $d - 2$ is not a square in \mathbb{Q} . Thus, any number field of odd degree over \mathbb{Q} cannot contain square roots of both d and $d - 2$.

Before proving Theorem 5.1, we need one more lemma.

LEMMA 5.2. *Let $d \geq 3$ be an odd integer, let K be a number field with ring of integers \mathcal{O}_K , and let $s, t \in \mathcal{O}_K$. Suppose that $2(d-2)s$ and dt are relatively prime. Let $x_0 = s/t$ and*

$$f(x) = x^d - bx^{d-2} \in \mathbb{Q}[x], \quad \text{where } b = x_0^2 = \frac{s^2}{t^2}.$$

Let $\pm\eta = \pm x_0 \sqrt{(d-2)/d}$ be the two nonzero critical points of f . Then for every $n \geq 1$,

$$F_n := s^{-2} (dt^2)^{d^n} [f^n(\eta)^2 - x_0^2] \quad (10)$$

is an \mathcal{O}_K -integer relatively prime to $2d(d-2)st$. Moreover, if uF_n is not a square in K for any unit $u \in \mathcal{O}_K^\times$, then there is a prime $\mathfrak{q} \nmid 2d(d-2)st$ of \mathcal{O}_K dividing $\Delta(f^n(x) - x_0)$ to an odd power, and such that $v_{\mathfrak{q}}(\Delta(f^\ell(x) - x_0)) = 0$ for all $0 \leq \ell < n$.

Proof. We claim that for any $n \geq 1$,

$$(dt^2)^{d^n} f^n(\eta)^2 = 4^{(d-2)^{n-1}} (d-2)^{(d-2)^n} s^{2e_n} M_n^2 \quad (11)$$

where $M_n \in \mathcal{O}_K$ is relatively prime to $2d(d-2)st$, and where

$$e_n = (d-2)^n + 2(d-2)^{n-1} + \cdots + 2(d-2) + 2.$$

Observe that $f(\sqrt{x})^2 = x^{d-2}(x-b)^2$. Thus, we have $f^n(\eta)^2 = g^n(\eta^2)$, where

$$g(x) = x^{d-2}(x-b)^2.$$

Since $\eta^2 = (d-2)x_0^2/d$, a direct computation shows

$$f(\eta)^2 = g(\eta^2) = \frac{((d-2)s^2)^{d-2}}{(dt^2)^{d-2}} \left(\frac{(d-2)s^2}{dt^2} - \frac{s^2}{t^2} \right) = \frac{4(d-2)^{d-2}s^{2d}}{(dt^2)^d}, \quad (12)$$

proving the claim for $n = 1$, with $M_1 = 1$. Assuming equation (11) holds for a particular $n \geq 1$, we have

$$\frac{(dt^2)^{d^{n+1}} f^{n+1}(\eta)}{4^{(d-2)^n} (d-2)^{(d-2)^{n+1}} s^{2e_{n+1}} M_n^{2(d-2)}} = \left(4^{(d-2)^{n-1}} (d-2)^{(d-2)^n} s^{2e_n-2} M_n^2 - d^{d^n} t^{2(d^n-1)} \right)^2,$$

since $e_{n+1} = (d-2)e_n + 2$. Setting

$$M_{n+1} := M_n^{d-2} \left(4^{(d-2)^{n-1}} (d-2)^{(d-2)^n} s^{2e_n-2} M_n^2 - d^{d^n} t^{2(d^n-1)} \right) \in \mathcal{O}_K,$$

we see that M_{n+1} is relatively prime to $2std(d-2)$, proving the claim.

Fix $n \geq 1$. It is immediate from equations (10) and (11) that

$$F_n = 4^{(d-2)^{n-1}} (d-2)^{(d-2)^n} s^{2e_n-2} M_n^2 - d^{d^n} t^{2d^n-2} \in \mathcal{O}_K,$$

which is relatively prime to $2d(d-2)st$, as desired.

For the remainder of the proof, assume that uF_n is not a square in \mathcal{O}_K for any unit $u \in \mathcal{O}_K^\times$. Then there is a prime \mathfrak{q} of \mathcal{O}_K dividing F_n to an odd power. We must have $\mathfrak{q} \nmid 2d(d-2)st$.

The factor consisting of the product over critical points in discriminant formula (1) for $\Delta(f^n(x) - x_0)$ is

$$-x_0^{d-3}(f^n(\eta)^2 - x_0^2) = \frac{-s^{d-1}F_n}{d^{d^n}t^{2d^n+d-3}}.$$

Thus, \mathfrak{q} divides this factor to an odd power. By formula (1), then, it suffices to show that

$$v_{\mathfrak{q}}\left(\Delta(f^\ell(x) - c)\right) = 0 \quad \text{for all } 0 \leq \ell \leq n-1. \quad (13)$$

Suppose not. Let $0 \leq \ell \leq n-1$ be the smallest index for which equation (13) fails. Then by formula (1) again, we must have

$$v_{\mathfrak{q}}(f^\ell(\eta)^2 - x_0^2) \geq 1, \quad \text{i.e., } f^\ell(\eta) \equiv x_0 \text{ or } f^\ell(-\eta) \equiv x_0 \pmod{\mathfrak{q}}.$$

Without loss, assume $f^\ell(\eta) \equiv x_0 \pmod{\mathfrak{q}}$. Then $f^{\ell+j}(\eta) \equiv 0 \pmod{\mathfrak{q}}$ for all $j \geq 1$. In particular, $f^n(\eta) \equiv 0 \pmod{\mathfrak{q}}$. However,

$$f^n(\eta) \equiv x_0 \not\equiv 0 \pmod{\mathfrak{q}}.$$

This contradiction proves equation (13) and hence the Lemma. \square

Proof of Theorem 5.1. Fix $d \geq 3$ odd. It suffices to show that there is some $f(x) \in K[z]$ satisfying the hypotheses of Theorem 3.1 or 3.2, with $\deg(f) = d$.

Case 1: d is not a square in K ; Step 1. We first show that there is a prime \mathfrak{p} of \mathcal{O}_K such that all units $u \in \mathcal{O}_K^\times$ are squares modulo \mathfrak{p} , with $\mathfrak{p} \nmid 2d(d-2)$, and such that d is not a square modulo \mathfrak{p} , by adjusting the method of Step 1 of the proof of Theorem 4.1 with inspiration from well-known argument of Hall [3].

Let \mathfrak{q} be a prime of \mathcal{O}_K dividing d to an odd power; note that \mathfrak{q} lies above an odd prime of \mathbb{Z} . Let u_1, \dots, u_r be generators of the (finitely-generated) unit group \mathcal{O}_K^\times , and let $L = K(\sqrt{u_1}, \dots, \sqrt{u_r})$. The discriminant $\Delta(L/K)$ must divide a power of 2, and hence \mathfrak{q} cannot ramify in L . However, \mathfrak{q} ramifies in $K(\sqrt{d})$, and therefore $K(\sqrt{d}) \cap L = K$. It follows that $L(\sqrt{d})/L$ is a quadratic extension.

Therefore, there exists $\sigma \in \text{Gal}(L(\sqrt{d})/L) \subseteq \text{Gal}(L(\sqrt{d})/K)$ that fixes $\sqrt{u_1}, \dots, \sqrt{u_r}$ but has $\sigma(\sqrt{d}) = -\sqrt{d}$. By the Chebotarev Density Theorem, there are infinitely many primes \mathfrak{p} of \mathcal{O}_K at which Frobenius acts trivially on L modulo \mathfrak{p} , but nontrivially on \sqrt{d} . Choosing any such prime \mathfrak{p}_1 that does not ramify in L and does not divide $2d(d-2)$, it follows that all units of \mathcal{O}_K are squares modulo \mathfrak{p}_1 , but d is not.

Case 1, Step 2. Let \mathfrak{p}_1 be the prime of \mathcal{O}_K found in Step 1, and choose $s \in \mathcal{O}_K$ relatively prime to d such that $v_{\mathfrak{p}_1}(s) = 1$. Let \mathfrak{p}_2 be a prime of \mathcal{O}_K not dividing $2d(d-2)s$, and choose $t \in \mathcal{O}_K$ relatively prime to $2(d-2)s$ such that $v_{\mathfrak{p}_2}(t) = 1$. Let $x_0 = s/t$ and $b = x_0^2$, so that

$$v_{\mathfrak{p}_1}(x_0) = 1, \quad v_{\mathfrak{p}_1}(b) = 2, \quad v_{\mathfrak{p}_2}(x_0) = -1, \quad \text{and} \quad v_{\mathfrak{p}_2}(b) = -2. \quad (14)$$

Define $f \in K[x]$ by $f(x) = x^d - bx^{d-1}$.

Case 1, Step 3. Let $m = d - 2$. If $d = 3$, then f is of the form of Theorem 3.2, and the relations (14) show that f satisfies condition (i) of Theorem 3.1. Similarly, if $d \geq 5$, then f is of the form of Theorem 3.1, and relations (14) show that f satisfies conditions (i) and (ii) of that Theorem. In both cases, we claim that for each $n \geq 1$, the quantity F_n of equation (10) is not a square modulo $\mathfrak{p} = \mathfrak{p}_1$. Since all units of \mathcal{O}_K are squares modulo \mathfrak{p} , Lemma 5.2 will then guarantee that condition (iii) of Theorem 3.1 also holds, yielding the desired result. Thus, it suffices to prove our claim: F_n is not a square modulo \mathfrak{p} , for every $n \geq 1$.

Let $\eta = x_0\sqrt{(d-2)/d}$, so that $\pm\eta$ are the only two critical points of f besides 0 and ∞ . Then for all $n \geq 1$, observe that

$$s^{-2}f^n(\eta)^2 \equiv 0 \pmod{s}, \quad (15)$$

since equation (11) shows $f^n(\eta)^2$ is divisible by a higher power of s .

Combining the definition of F_n in equation (10) and equation (15), we have

$$F_n \equiv -d^{d^n}t^{2d^n-2} \pmod{\mathfrak{p}},$$

since $\mathfrak{p} = \mathfrak{p}_1|s$. Since d is odd and not a square modulo \mathfrak{p} , we have proven our claim and hence Case 1 of the Theorem.

Case 2: $d - 2$ is not a square in K ; Step 1. By the same argument as in Case 1, Step 1, but this time with the odd number $d - 2$ in place of d , there is a prime \mathfrak{p} of \mathcal{O}_K such that all units $u \in \mathcal{O}_K^\times$ are squares modulo \mathfrak{p} , with $\mathfrak{p} \nmid 2d(d-2)$, and such that $d - 2$ is not a square modulo \mathfrak{p} .

Case 2, Step 2. Let \mathfrak{p}_2 be the prime \mathfrak{p} of \mathcal{O}_K found in Step 1, and choose $t \in \mathcal{O}_K$ relatively prime to $2(d-2)$ such that $v_{\mathfrak{p}_2}(t) = 1$. Let \mathfrak{p}_1 be a prime of \mathcal{O}_K not dividing $2d(d-2)t$, and choose $s \in \mathcal{O}_K$ relatively prime to dt such that $v_{\mathfrak{p}_1}(s) = 1$. Let $x_0 = s/t$ and $b = x_0^2$, and define $f \in K[x]$ by $f(x) = x^d - bx^{d-2}$.

Case 2, Step 3. Since $d - 2$ is not a square, we have $d \geq 5$. As in Case 1, relations (14) hold, and they show that f satisfies conditions (1) and (2) of Theorem 3.1, with $m = d - 2$, and hence $d - m = 2$. Also as in Case 1, it then suffices to prove the following claim: that for each $n \geq 1$, the quantity F_n is not a square modulo $\mathfrak{p} = \mathfrak{p}_2$.

As before, let $\eta = x_0\sqrt{(d-2)/d}$. Then for all $n \geq 1$, observe that

$$(dt^2)^{d^n}f^n(\eta)^2 \equiv \left(4(d-2)^{d-2}s^{2d}\right)^{d^{n-1}} \pmod{dt^2}. \quad (16)$$

Indeed, for $n = 1$, equation (16) is immediate from equation (12). For $n \geq 2$, we have

$$(dt^2)^{d^\ell}f\left(\frac{\sqrt{y}}{(dt^2)^{\ell/2}}\right)^2 \equiv y^d \pmod{dt^2}$$

for $y \in \mathcal{O}_K$ relatively prime to dt and for $\ell \geq 2$. Hence, equation (16) follows by induction on n .

Since d is odd and $d - 2$ is not a square modulo \mathfrak{p} , equation (16) shows that the quantity $s^{-2}(dt^2)^{d^n} f^n(\eta)^2$ is a nonsquare modulo \mathfrak{p} for every $n \geq 1$. In addition, $(dt^2)^{d^n} x_0 \equiv 0 \pmod{\mathfrak{p}}$, since $\mathfrak{p} = \mathfrak{p}_2 | t$. Thus, from the definition of F_n in equation (10), we have proven our claim and hence the Theorem. \square

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